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FORM PTO-1083

Attorney Docket No. 03528.0139.PCUS00

In re application of Karsten Rothbarth, *et al.*

Application. No. 09/701,618

Filed: April 13, 2001

For: **METHOD FOR TRIGGERING APOPTOSIS IN CELLS**

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

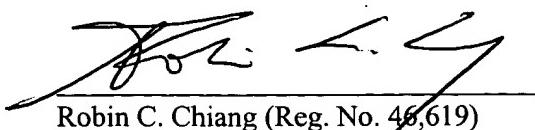
Transmitted herewith are the following:

1. PTO Form 1083 (1 page);
2. Response to Office Action (8 pages);
3. PTO/SB/17 Fee Transmittal (1 page, in duplicate);
4. Revocation of Prior Power of Attorney, Appointment of New Attorneys of Record and Change of Correspondence Address (2 pages);
5. A check in the amount of \$1,040.00 for fee under §1.17(a)(5) for 5-month extension (Check No. 7072); and
6. Return receipt postcard.

X No additional claim fee is required.

X The U.S. Patent and Trademark Office is hereby authorized to charge and fee deficiency or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 03528.0139.PCUS00. A duplicate copy of this sheet is attached.

Date: October 20, 2004



Robin C. Chiang (Reg. No. 46,619)

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$1040)

Complete If Known

Application Number	09/701,618
Filing Date	April 13, 2001
First Named Inventor	Karsten Rothbarth
Examiner Name	Angell, Jon E.
Art Unit	1646
Attorney Docket No.	03528.0139.PCUS00

METHOD OF PAYMENT (check all that apply)

- Check Credit card Money Other None
- Deposit Account:

Deposit Account Number	08-3038
Deposit Account Name	Howrey Simon Arnold & White LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) or any underpayment of fee(s)
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE****Large Entity Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	Fee Paid
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1) (\$)		0			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =		X	0
Multiple Dependent	-3** =		X	0
			=	0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 over original patent
SUBTOTAL (2) (\$)		0		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	430	2252	215 Extension for reply within second month
1253	980	2253	490 Extension for reply within third month
1254	1,530	2254	765 Extension for reply within fourth month
1255	2,080	2255	1,040 Extension for reply within fifth month
1401	340	2401	170 Notice of Appeal
1402	340	2402	170 Filing a brief in support of an appeal
1403	300	2403	150 Request for oral hearing
1451	1,510	1451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive - unavoidable
1453	1,330	2453	665 Petition to revive - unintentional
1501	1,370	2501	685 Utility issue fee (or reissue)
1502	490	2502	245 Design issue fee
1503	660	2503	330 Plant issue fee
1460	130	1460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	790	2809	395 Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395 For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (Specify) _____		_____	
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	
		1040	

1040.00 op

SUBMITTED BY (Complete if applicable)

Name (Print/Type)	Robin C. Chiang	Registration No. (Attorney/Agent)	46,619	Telephone	(650) 463-8127
Signature		Date	October 20, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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